

# **FAL Online**

## Multi member user overview and glossary of terms

17 May 2022

# LLOYD'S

### **Contents**

#### <u>Page</u>

Accessing the portal	3
Brief overview of the portal	3
Home	3
Members & Third Parties	3
Funds at Lloyd's	4
Transactions	5
Member Profile	9
Documents	9
Secure Messages	13
Glossary of Terms	14
Transaction Code List	15



#### Accessing the portal

Access the portal via <u>www.lloyds.com</u>.

#### Brief overview of the portal

#### Home

You will land on a central dashboard, where you can navigate to any page. It includes accessible information, articles and interactive graphs showing valuation of assets over time.

Teleconte Mark.				
Summary	My Funds		SEE ALL	
Total Infanket Value (GBP) £0	No data to doplay.		From	
Latest Documents SEE ALL				
Member Code Description				
No data				
	Insights from Lloyd's			
	COVID-19 information hub This hub provides customers and the Lovids market community	Latest Press Releases Stay up to date with the latest press releases from Lived's	Complex Risk Platform A digital end-to-end platform that complements face-to-face	

#### **Members & Third Parties**

This page provides access to Lloyd's members fund and profile information. The search options at the top allow you to search for a certain member or status. The wildcard % can be used when searching.

To return a full list of your members, enter the % symbol in the Member Number or Name box.

If you are searching for a specific member or entity, ensure you have the appropriate capital letters on the name or at the end of the number.

Name	Code	Status		
%Smith%		Active	Search	
				4
Name	Code	Status		



#### Funds at Lloyd's

The funds at Lloyd's page shows asset information visualised via clear graphs and has a customisable table of holdings which can be downloaded instantly via the download button.

You can sort the view on any screen by clicking on a field in the grey bar. You can filter what you see/download by keying details into any box with the spyglass.

ා / Funds at Li	loyd's								
Asset Class	Sub Asset Class	Currency							
Non Cash Value (Gi £0	189) Cash Vill. £0	m (GHP)	- Fi	und All Funds		As of 04/1	1/2020		
Asset Class									
			No Data to D	isplay					
Pothsio 💌	Fund Description • Asset Code	Asset Description	Total Qty	IA Qiy	Non JA Qfy	Total Value GBP	± @	/	
Portisio 💌	Fund Description •	Asset Description	Total Gry	IA Gey	Non-IA Qity	Total Value GBP	± @	]	
Tertleto 💌	Fund Description ♥ Asset Code	Asset Description	Total Qty	iA Giy	Non-IA City	Total Velue G8P	± (b)	]	
Pothsio 💌	Fund Description. • Asset Code	Asset Description	Total Qty t Sarve	IA City	Nom IA Qiy	Total Value GBP	± @	]	
Portfulio T	Fund Description ♥ Asset Code Q	Asset Description Q Resce Accord Accord Accord Class	Total Qty t Sarve	iA Giy	Non IA City	Total Value GBP	± (b)	]	
Porticio 💌	Fund Description •	Asset Description Q Rese Asset Class CurStP	Total Cry et Same	IA Qiy	Nom SA City	Total Value G8P	± @	]	
Pothsio 💌	Fund Description. • Asset Code	Asset Description Q Rese Ac of Accel Accel CuSIP Classification	Total Qty et Sarve	IA Cey	Nom IA Oly	Total Value GBP	± @	]	
Portfulio 💌	Fund Description ♥ Asset Code	Asset Description Q Rese Asset Class CurStP Classification Exchange Ra	Total Qity et Sarve	IA Qiy	Non-IA City	Total Value GBP	± (b)	]	
Fortleiq 👁	Fund Description •	Asset Description Q Accord Accord Accord CurSiP Chassification Exchange Ra Exchange Ra	Total Day et Sarre	IA Qiy	Nom SA City	Total Value G8P	± @	]	
Pothsio V	Rund Description.	Asset Description Q Rese Ao of Aoset Class CuSIP Classification Eschange Ra Eschange Ra Eschange Ra	Total Qiy et Serve she she she Dahe		Nom JA Qity	Total Value GBP	± @	]	
Portlelio •	Rund Description.	Asset Description Q Rese Ao of Asset Class CurSiP Classification Exchange Ra Exchange Ra Exchange Ra Exchange Ra	Total Qity et Sarve n she she Dabe	IA Qiy	Nom IA Oty	Total Value GBP	± @	]	
Forthelio	Rund Description	Asset Description Q  Rese Ao of Accel Accel CurStP Classification Exchange Ra Exchange Ra Exchange Ra Exchange Ra Exchange Ra Exchange Ra	Total Day et Sarve	IA Qiy	Nom IA Gty	Total Value GBP	± @	]	

Assets can be viewed by asset class, sub asset class or Currency (GBP equivalent value).

#### \*\*\*\*\*\*\*\*IMPORTANT INFORMATION RELATING TO VALUATIONS \*\*\*\*\*\*\*\*\*\*\*

Valuations for a given day may change if Member Services backdate transactions.



#### Transactions

You can access the transactions page using the navigation menu at the top of the screen

LLOYDS	Home Punds at Lagets Transactions Documents About My Funds Versitive Transactions (Design Transactions)	8	
	ଲ / Transactions		
/	By Type Centr Deposits / Withdrawals		
	Ten Cast Value (1997) Cash Value (1997) Fund Al Funds (2) - From 01/01/2020 <table-cell> To (15/05/2021</table-cell>		
	Transaction by Type		
	30,000,000,00		
	Cantol Australia Asset Transp Detauto I france		
	int and able the		
	P1.00.000.00		
	fund Descration *		
	Post Date Asset Code Transaction Value Asset CL. CUSP Cash Transaction Ty Clearing Date Exchange R Fund Ref		

You can view transactions either 'By Type' (the default view) or you can click on 'Cash/Deposits/Withdrawals' to view cash portfolio movements only.

You can also change different variables to fit your viewing requirements, for example you can filter on a specified fund using the drop down feature (default option to display 'All Funds') and alter the 'From' and 'To' dates according to the date period you are interested in accessing.

Non Cash Value (GBP)	Cash Value (GBP)	Fund	All Funds	8	From	01/01/2020	То	15/06/2021	
			00123 General Deposit						
			01101 Personal Reserve						

Dependent on the selected view, a graph will be displayed containing either the total values transacted for each transaction type, or the total values for any cash deposits/withdrawals, across the date period selected.

If you wish to only see certain transaction types within the graph, you can remove any of the categories listed within the key by clicking on the relevant category. It will then be excluded from view, as per the below.

## LLOYD'S

#### Transaction by Type



If you scroll down below the graph, you will be presented with the table of transactions, according to the variables you have selected.

Tran	nsaction Type 🗢					* 💿
F	und Description	Transaction Date	Asset Description	Total Qty	Total Value Local CCY	Local CCY
Q			a.			
- A	sset Trading					
0	0123 General eposit	02/07/2020		(1.200.000.00)		-
- c	apital Events					
0	0123 General eposit	02/01/2020				
D	0123 General eposit	02/01/2020				
0	0123 General eposit	30/06/2020		(9.750.000.00)	5	1
- D	eposits/Payments					
0	0123 General eposit	06/06/2020				
0	0123 General eposit	08/06/2020				
0	0123 General eposit	01/07/2020				1

When you first access the transactions page, you will be presented with a default view, which is fully customisable. Additional field headings can be applied by selecting the cog 🐵 icon and dragging and dropping the headings back and forth between the transaction data table and the cog headings list.

In the below example, 'Asset Code' is being dragged from the additional heading options which are listed against the cog 🕸 icon and dropped into the current transaction table.

			E Marrier	irraria (412.33) (Pgymenti (14,345,553,72)		*
5.300,000.05						
0.000,000 /00						
5. and one adv		1. Contract (1. Contract)				
nuise des atte						
Transition Type W				± ©		
Fund Description	Transattion Date	Post Data Acade	ection Value GBP	Local CCY		
Capital Events					Bent See X	
00123 General Deposit	62/01/0020	02/01/2020		150	Annual Class	
00125 General Deposit	62/01/2020	02/01/2020		160		
Deposits/Pagments					Amont Description	
00123 General Deposit	61/07/2620	01/07/2020		USD	cisa	- 1
00133 General Deposit	61,97,3020	01/07/2020		1/5D	Cash Transcittin Type	
00101 General Deposit	24/07/2020	24/07/2020		USD	Diamig Date	
00181 General Deputit	34/07/2020	34/67/2020		050	Yntherge Rate	
00123 General Deposit	24/07/2020	24/07/2020		USD	Fund Ref.	
00123 General Deposit	24/07/2020	34/07/2020		050	15N	
00101 General Depart	34/07/2030	24/07/2020		050	Liter New Lite	
00101 General Deposit	24/07/2020	34/07/2020		USD	Wereiten Code	
00123 General Deposit	07707/2020	67/07/2020		1/52		
00125 Geseral Depont	07/07/2020	87/07/2820	1	USD		1

**LLOYD'S** 

Please note, you will only be able to view around 8 field headings at a time within the portal view, before any additional headings will begin disappearing out of view, however the full table of data can be extracted to an Excel workbook (.xlsx format).

Transactions can be 'grouped' by any of the available field headings (unless there is no data populated within that column). For example, you cannot group your transaction view by exchange rate, if all your transactions have been processed in GBP, as no data will be present in this column.

Groupings can be made by dragging and dropping the relevant field heading from the existing transactions table or from any of the headings listed against the <sup>(2)</sup> icon, to the bar above the transaction data table. In the case of the below example, transactions have been grouped by Transaction Type e.g. Capital Events, Deposits/Payments, Asset Trading etc.

Transaction Type 2	<b></b>	<b></b>	<b>↑ ↑ ▼</b>	* 💿
Fund Description	Transaction Date	Asset Description	Total Qty Total Value Loc	al CCY Local CCY
۹		4		
Asset Trading				
00123 General Deposit	02/07/2020		(1.200.000.00)	
Capital Events				
00123 General Deposit	02/01/2020			
00123 General Deposit	02/01/2020			
00123 General Deposit	30/06/2020		(9,750,000,00)	
Deposits/Payments				
01101 Personal Reserve	21/05/2020			
01101 Personal Reserve	21/05/2020			
00123 General Decosit	05/06/2020			

It is not compulsory to select a 'grouping' option. If you wish to view all data without a 'grouping', you just need to ensure all

#### **Classification: Confidential**

## **FAL Online**

## **Overview and glossary of terms**



field headers are dragged into the main table field headings, as per the below example.

Drag a column h	header here to gro	up grid records						* 🕸
Post Date	Asset Code	Transaction Value	Asset Cl	CUSIP	Cash Transaction Ty	Clearing Date	Exchange R	Fund Ref
۹ 🖬			Q	۹	٩	۹ 🖬	٩	۹
02/01/2020			Members Funds Cash		Capital Account	02/01/2020	1.32	0T7F7FN.00123
02/01/2020			Members Funds Cash		Capital Account	02/01/2020	1.32	0T7F7FN.00123
21/05/2020			Members Funds Cash		Capital Account	21/05/2020	1.23	0T7F7FN.01101
21/05/2020			Members Funds Cash		Capital Account	21/05/2020	1.23	0T7F7FN.01101
08/06/2020			Members Funds Cash		Capital Account	08/06/2020	1.27	0T7F7FN.00123

Once you have customised the table, as per your desired field headings, you can click 'save' in the cog <sup>®</sup> menu to retain this view for subsequent logins.

To extract the transactions data to an Excel workbook (.xlsx format), click on the download icon <sup>±</sup> at the top of the data table.

#### Notes on Transactions

• Rounded Figures – within the portal view, transaction data is rounded to two decimal places. If reconciling transactions, please ensure that the data is extracted to Excel, with any value columns formatted to the correct number of decimal places. Note that the Excel workbook will also display 2 decimal places by default, however you can click into each cell to see the true number of decimal places required.



#### **Member Profile**

This can be viewed via the Members and Third Parties page and displays standing member information. There is a second tab for Underwriting Capacity which is coming in a following phase.

LLOYDS Home Members & T	hird Parties Funds at Lloyd's Documents		M	Ð
	යා / Members & Third Parties	View Investor's Funds at Lloyd's		
	Member Details Underwriting Capacity			
	Member Code Member Btatue Member Type Life Funding Fie Member -	equivement Non-Life Funding Requirement Ermail		
	Member Profile			
	Member Code	Member Type Member		
	Member Name	Member Status		
	Member IA Status	Agent Name		
	Date of Incorporation	Country of Incorporation		
		G8		
	Email	Correspondence Address		
	W8/W9 Held?	Tax ID		

#### **Documents**

A secure location for document viewing, upload, and overall management. You can view any documents that have been uploaded by you for a Member Services team or by the Members Services for you. Up to 5,000 documents are shown on the Documents page. If you wish to view older documents, please use the From and To filter at the top of the page.

You can search by category or date range and customise the screen via the cog.

b) / bocuments					
Category All Categories	Rom .	+	70 V		
Documents				Dynamical Selected ±	
As Of	Catagory	Description	Evenity ID	Narra /	
0.	D Q	٥	a.	a /	
		No data			
				/	
				/	
				/	



Reset	Save	×
Entity ID / Nam	e	
Entity Name		
Upload Time		
Uploaded By		

You can also sort via the grey bar or filter using any box with a spyglass.

You can upload documents to Member Services and have a choice of category and description. A full list of categories and descriptions is available in the 'Document Upload Categories and Descriptions' section at the end of this document. A variety of formats are supported (further details in the FAQs).

Documents are linked to a specific member and will pre-fill once you start typing either the number or name in the member/third party box.

Solution file of		
lert a file or		
the lastic or characteristic share		
o para conspecies		

#### **Document Upload Categories and Descriptions**

Category	Description
Acceptabilities	Acceptabilities
Audit	Auditors valuation request
Audit	Bank Audit correspondence
Corporate actions	Corporate Action Acceptances



Corporate actions Corporate Action beneficial owner details Corporate actions Corporate Action gueries and responses Corporate actions Corporate Actions event advices Corporate actions **Corporate Actions instructions** Corporate actions Proxy voting DCP trades **Blanket Counter Indemnities** DCP trades **Bloomberg Customer Agreements** DCP trades **Citibank Account Opening Documentation** DCP trades **Contract Notes** DCP trades DCP trades queries and responses DCP trades UT Account Opening - Application Forms & Prospectuses DCP trades UT Account Opening - beneficial owner - controlling persons required **Distribution - Results - SRF** Consolidated Person Accounts (CPAs) **Distribution - Results - SRF** Distribution data **Distribution - Results - SRF** Distribution gueries and responses **Distribution - Results - SRF** SRF Inland Revenue valuations **Distribution - Results - SRF** Loss funding options FAL Online Annual user review FAL Online FAL Online applications FAL Online FAL Online queries and responses Funds at Llovd's - DCPs **Comfort Letters** Funds at Lloyd's - DCPs DCP queries and responses Funds at Lloyd's - DCPs FAL Files (Additional assets - releases - substitutions etc) LOC Collateral Return forms Funds at Lloyd's - DCPs LOC notice of cancellation Funds at Lloyd's - DCPs Funds at Lloyd's - DCPs PLDs and Appendix 5's Funds at Lloyd's - DCPs Regulating Trustee Directions (RTDs) for Distribution Funds at Lloyd's - Non Dcps Custody FAL queries and responses Funds at Lloyd's - Non Dcps FAL Files (Additional assets - releases - substitutions etc) Funds at Lloyd's - Non Dcps LOC notice of cancellation Funds at Lloyd's - Non Dcps **Total Release reports** Funds at Lloyd's - Non Dcps Weekly Agent Reports Gateway Team Gateway Team Investment Correspondence Gateway Team Income and Dividends **Consolidated Tax Voucher** Income and Dividends DCP - Investment manager income report Income and Dividends **Dividend mandates** Income and Dividends Dividend queries and responses Income and Dividends Interest gueries and responses Interest statements Income and Dividends Deeds Legal documents Change of coordinating agent Legal documents Legal documents Delegated authority request Legal documents Grant of Probate - Letters of administration Legal documents Individual or Company name change Notification of Death (Death certificate) Legal documents **OPOA - USPOA** Legal documents POA - enduring - lasting or General POA Legal documents Legal documents **PTF Trustee changes** 



**MS** Charges **MS** Complaints **Onboarding - New Clients Onboarding - New Clients** Remediation Remediation Resigning membership **Resigning membership** Resigning membership Returns Returns Statements Statements Statements **Statements** Statements Statements Statements Tax Documents Tax - Direct Tax Teams Trades Trades Trades Trades Trades Trades Trades Trades **Verification Documents** Verification Documents Verification Documents

MS Charge queries and responses MS Complaints queries and responses Change of Controller - Director Deeds FAL Online applications HRR Lloyd's forms of appointment Master and customer agreements Onboarding - third parties - new members and new entities Onboarding queries and responses **Onboarding - Declaration of Compliance** Documents requested **Remediation queries** Perpetuity process Resignations Terminations Agents FRR return Schedule 3 Capital Test Statement - data **Cash Statements FAL Asset Allocation** Quarterly corridor test - Release test FAL Valuation Solvency Statements - Report Schedule 3 **BEAT Reports** Canadian Income Tax Return Country by Country reporting **CTA1** Forms **CTA2** Forms Expense Declaration Forms (EDF) Japan Income Tax Return Member Level Reinsurance (FET) **Tax Retention** US FIT Schedules - 1<sup>st</sup> Level US FIT Schedules - 2<sup>nd</sup> Level Tax gueries and responses **Blanket Counter Indemnities Bloomberg Customer Agreements Citibank Account Opening Documentation Contract Notes** Trades queries and responses UT Account Opening - beneficial owner - controlling persons required UT Account Opening - Application Forms & Prospectuses UT Account Opening - Dealing Authorities - UT Application Forms Bank account evidence - Agent update Change of address (individual - corporate - TPFP and directors - controllers) **ID** evidence



#### Secure messages

You can send and receive secure messages with Member Services.

LLOYDS Home Public at Lays	As Transactions Documents Unservering Capacity (David Same)	
1	ଳ / Secure Messaging	C this Manage
	Best-red Sant Drafts	
	Received Mentagen	
	You have not received any secure memory	

Documents can be attached to your message however to locate them via the search functionality, we encourage you to upload the documents rather than attach them.

LLOYDS Home Manyach & Third Payles Hundra LLOYDS				8
Rectined Sect. 1				
Received Massages	Compose New Message		×	
	Subject Subject	To Select Team	· -	
	Message Message			
	Add Attachment		_	
		Save Draft	Send	

#### **Glossary of Terms**

Advisor	This could be an Investment Manager, Solicitor, accountant etc.
Asset Class	The Asset category of a holding or transaction e.g. Members Fund Cash, Investment Funds etc.
Asset Code	Also known as a Stock Code or Sedol. This is the code to define the asset held
Asset Description	The public description of the asset held
C&C	Covenant and Charge. A type of arrangement that is no longer used
Cancellation Indicator	This shows if a Policy is under notice of cancellation
Clearing Date	The value date or settlement date of a transaction
CUSIP	Another version of a Stock Code, primarily used for the identification of USA Securities
Donor Member	The originating member who donates their funds to a recipient member
Exchange Rate	FX rate applied to a transaction or holding
FAI	Funds at Lloyd's. A Members Funds or Assets held under trust at Lloyd's
	Fund Number and Name (see Fund)
Fund Pof	Member Number & Fund a holding or transaction relates to
rund kei	
Interavailable	insurance business supports the underwriting of two or more different members. Parties to the arrangement are defined as either the donor member or the recipient member
Investment Manager	A company that provides Investment advice and trading services as well as other wealth-based services
ISIN	International Securities Identification Number. A 12-digit identifier usually set at a company issuer level.
LAF	Lloyd's Access Framework. This is the security framework by which Lloyd's controls all applications
Local CCY	The currency a transaction has been processed in or an asset is held in
Member	The member account at Lloyd's
Member Code	The unique 7-digit number to identify the Member
Narrative	Description of the transaction
Post Date	The date a transaction has been processed
Product	Also known as a Fund. This is a five-character figure, with the first three characters resulting in the type of fund. E.g. Personal Reserve Fund, Special Reserve Fund, General Deposit Fund, these can be further split by a Life or Non-Life fund.
<b>Recipient Member</b>	The member receiving donated funds
Sub-Asset Class	The sub-category of an asset holding or transaction e.g. Cash – Transferable Deposits, Investment Funds – Equity Funds etc.
Total Qty	Number of shares/units held or transacted
Total Value Local CCY	Value of the holding or transaction in the transacted currency

LLOYD'S



ТРО	Known as a Third Party FAL provider. A company or individual who provides assets to and forms part of a larger member
Transaction Code	Abbreviated code for the type of transaction posted. Please see 'Transaction Code List' below for a full list
Transaction Date	The trade date of a transaction
Transaction Type	The category of a transaction e.g. Deposits/Payment, Dividend Income etc.
Transaction Value GBP	The GBP value of a transaction
W8/W9	These are Tax relevant forms required by Members to be able to trade. W9 forms are for US residents with W8 forms for the rest of the world

#### **Transaction Code List**

ACNCAdditional Credit Non-ChargeableADCAdditional Debit ChargeableADJAdjustmentADNCAdditional Debit Non-ChargeableAPPDApplication of interest payableAPPDApplication of interest payableAPPIGCCredit Interest - GrossAPRDDebit interestBPurchaseBIBonus IssueBRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCTTCredit Non-Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONConsolidationCRINCredit IournalCRINCredit JournalCRINRCredit JournalCSHTFRCRCash Transfer CreditCSHTFRDRCash Transfer DebitCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDNCTDebit Non-Chargeable TransactionDNCTDebit Non-Chargeable TransactionDNCTDebit Chargeable TransactionDNCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRNDebit Non-Chargeable TransactionDRNDebit JournalDRWDawdownDVSWCRDividend Sweep Credit	ACC	Additional Credit Chargeable
ADCAdditional Debit ChargeableADJAdjustmentADNCAdditional Debit Non-ChargeableAPPDApplication of interest payableAPPDApplication of interest payableAPPDDebit interestAPRDDebit interestBPurchaseBIBonus IssueBRIBonk Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCCTCredit Non-Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCRINCredit IgurnalCRINCredit JournalCRINRCredit Journal ReversalCSHTFRCRCash Transfer Credit ReversalCSHTFRDCash Transfer Debit ReversalCTDebit Chargeable TransactionCTDCTDATDebit Chargeable TransactionCRINRCredit Journal ReversalCSHTFRDRCash Transfer Credit ReversalCTDebit Chargeable TransactionDRTDebit Non-Chargeable TransactionDNCTDebit Non-Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRNNDebit JournalDRWNDebit JournalDRWNDebit Non-Chargeable TransactionDRWDebit Non-Chargeable TransactionDRWDebit JournalDRWDebit Non-Chargeable Transaction	ACNC	Additional Credit Non-Chargeable
ADJAdjustmentADNCAdditional Debit Non-ChargeableAPPDApplication of interest payableAPPDCredit Interest - GrossAPRDDebit interestBPurchaseBIBonus IssueBRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConvertedCNNCredit journalCRINRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer DebitCTClient TransferDCTDebit Chargeable TransactionDRTDebit On-Chargeable TransactionCRINRCredit Journal ReversalCSHTFRDRCash Transfer CreditCSHTFRDRCash Transfer DebitCSHTFRDRDebit Chargeable TransactionDRTDebit Chargeable TransactionDRTDebit Non-Chargeable TransactionDRNDebit On-Chargeable TransactionDRNDebit JournalDRWDrawdownDVSWCRDividend Sweep Credit	ADC	Additional Debit Chargeable
ADNCAdditional Debit Non-ChargeableAPPDApplication of interest payableAPPDCredit Interest - GrossAPRDDebit interestBPurchaseBIBonus IssueBRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConvertedCNNCorpationmentCRINCredit IournalCRINRCredit JournalCRINRCredit JournalCSHTFRCRCash Transfer CreditCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer DebitCSHTFRDRCash Transfer DebitCTDebit Chargeable TransactionDRCTDebit Chargeable TransactionDRNNDebit Chargeable TransactionDRVKDrawdownDVSWCRDividend Sweep Credit	ADJ	Adjustment
APPDApplication of interest payableAPPDGCCredit Interest - GrossAPRDDebit interestBPurchaseBIBonus IssueBRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONCredit journalCREDCredit journalCRINRCredit Journal ReversalCSHTFRCRCash Transfer Credit ReversalCSHTFRDRCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTDebit Chargeable TransactionDRUTDebit Non-Chargeable TransactionDRUTDebit Non-Chargeable TransactionDRUTDebit Non-Chargeable TransactionDRUTDebit Non-Chargeable TransactionDRUNDebit JournalDRUNDebit JournalDRUNDebit JournalDVSWCRDividend Sweep Credit	ADNC	Additional Debit Non-Chargeable
APPIGCCredit Interest - GrossAPRDDebit interestBPurchaseBIBonus IssueBRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConvertionCRINCredit RedesignationCRINCredit JournalCRINRCredit Journal ReversalCSHTFRCRCash Transfer CreditCSHTFRDRCash Transfer DebitCTClient TransferDCTDebit Chargeable TransactionDRTDebit Non-Chargeable TransactionCRJNRCredit BeversalCSHTFRDRCash Transfer CreditCSHTFRDRCash Transfer CreditCSHTFRDRDebit TransactionDRTDebit Non-Chargeable TransactionDRVDebit Non-Chargeable TransactionDRVNDebit Non-Chargeable TransactionDRVNDebit Non-Chargeable TransactionDRWDrawdownDVSWCRDividend Sweep Credit	APPD	Application of interest payable
APRDDebit interestBPurchaseBIBonus IssueBRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNVConvertedCNVConvertionCREDCredit RedesignationCRJNRCredit journalCSHTFRCRCash Transfer CreditCSHTFRDRCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTDebit Chargeable TransactionDRJNDebit Chargeable TransactionDRTDebit Non-Chargeable TransactionDRTDebit Non-Chargeable TransactionDRTDebit Non-Chargeable TransactionDRJNDebit Chargeable TransactionDRWDrawdownDVSWCRDividend Sweep Credit	APPIGC	Credit Interest - Gross
BPurchaseBIBonus IssueBRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCREDCredit RedesignationCRJNRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRCRCash Transfer DebitCSHTFRDRCash Transfer DebitCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRWDrawdownDVSWCRDividend Sweep Credit	APRD	Debit interest
BIBonus IssueBRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONCredit RedesignationCREDCredit JournalCRJNRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRDRCash Transfer CreditCSHTFRDRCash Transfer DebitCTCient Transfer DebitDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRWDrawdownDVSWCRDividend Sweep Credit	В	Purchase
BRIBonus Rights Issue Stk EntBVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONConsolidationCREDCredit RedesignationCRJNRCredit JournalCSHTFRCCash Transfer CreditCSHTFRCRCash Transfer CreditCSHTFRDRCash Transfer DebitCSHTFRDRCash Transfer DebitCTClient TransferDCTDebit Chargeable TransactionDRXDebit Non-Chargeable TransactionDRXDebit Non-Chargeable TransactionDRXDebit Non-Chargeable TransactionDRXDebit Chargeable TransactionDRXDebit Non-Chargeable TransactionDRXDebit Non-Chargeable TransactionDRXDrawdownDVSWCRDividend Sweep Credit	BI	Bonus Issue
BVABook Value ApportionmentCAICorp Action Transfer InCAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONConsolidationCREDCredit RedesignationCRJNRCredit JournalCSHTFRCRCash Transfer CreditCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer DebitCTDient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRWDebit journalDVSWCRDividend Sweep Credit	BRI	Bonus Rights Issue Stk Ent
CAICorp Action Transfer InCAOCorp Action Transfer OutCAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONConsolidationCREDCredit RedesignationCRJNRCredit journalCSHTFRCCash Transfer CreditCSHTFRCCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRWDrawdownDVSWCRDividend Sweep Credit	BVA	Book Value Apportionment
CAOCorp Action Transfer OutCCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONConsolidationCREDCredit RedesignationCRJNCredit JournalCRINRCredit Journal ReversalCSHTFRCCash Transfer Credit ReversalCSHTFRDCash Transfer Credit ReversalCSHTFRDRCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTDebit Chargeable TransactionDRTDebit Non-Chargeable TransactionDRVDebit Non-Chargeable TransactionDRWDrawdownDVSWCRDividend Sweep Credit	CAI	Corp Action Transfer In
CCTCredit Chargeable TransactionCNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONConsolidationCREDCredit RedesignationCRJNCredit journalCRJNRCredit Journal ReversalCSHTFRCCash Transfer Credit ReversalCSHTFRDCash Transfer Credit ReversalCSHTFRDRCash Transfer DebitCTClient TransferDCTDebit Chargeable TransactionDRJNDebit Non-Chargeable TransactionDRJNDebit journalDKWDrawdownDVSWCRDividend Sweep Credit	CAO	Corp Action Transfer Out
CNCTCredit Non-Chargeable TransactionCNDConvertedCNVConversionCONConsolidationCREDCredit RedesignationCRJNCredit journalCRJNRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRDCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient Transfer Debit ReversalCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	ССТ	Credit Chargeable Transaction
CNDConvertedCNVConversionCONConsolidationCREDCredit RedesignationCRJNCredit journalCRJNRCredit Journal ReversalCSHTFRCCash Transfer Credit ReversalCSHTFRDRCash Transfer Credit ReversalCSHTFRDRCash Transfer DebitCTClient TransferDCTDebit Chargeable TransactionDRJNDebit Non-Chargeable TransactionDRWDrawdownDVSWCRDividend Sweep Credit	CNCT	Credit Non-Chargeable Transaction
CNVConversionCONConsolidationCREDCredit RedesignationCRJNCredit journalCRJNRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRCRCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CND	Converted
CONConsolidationCREDCredit RedesignationCRJNCredit journalCRJNRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRCRCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CNV	Conversion
CREDCredit RedesignationCRJNCredit journalCRJNRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRCRCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CON	Consolidation
CRJNCredit journalCRJNRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRCRCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRWDrawdownDVSWCRDividend Sweep Credit	CRED	Credit Redesignation
CRJNRCredit Journal ReversalCSHTFRCCash Transfer CreditCSHTFRCRCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CRJN	Credit journal
CSHTFRCCash Transfer CreditCSHTFRCRCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CRJNR	Credit Journal Reversal
CSHTFRCRCash Transfer Credit ReversalCSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CSHTFRC	Cash Transfer Credit
CSHTFRDCash Transfer DebitCSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CSHTFRCR	Cash Transfer Credit Reversal
CSHTFRDRCash Transfer Debit ReversalCTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CSHTFRD	Cash Transfer Debit
CTClient TransferDCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	CSHTFRDR	Cash Transfer Debit Reversal
DCTDebit Chargeable TransactionDNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	СТ	Client Transfer
DNCTDebit Non-Chargeable TransactionDRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	DCT	Debit Chargeable Transaction
DRJNDebit journalDRWDrawdownDVSWCRDividend Sweep Credit	DNCT	Debit Non-Chargeable Transaction
DRWDrawdownDVSWCRDividend Sweep Credit	DRJN	Debit journal
DVSWCR Dividend Sweep Credit	DRW	Drawdown
	DVSWCR	Dividend Sweep Credit

# LLOYD'S

DVSWDR	Dividend Sweep Debit
EB	Purchase (External)
ES	Sale (External)
EXT	Line Extinct
F60I	Fund 60 Transfer In
F60O	Fund 60 Transfer Out
F60P	Fund 60 Purchase
F60S	Fund 60 Sale
IAJC	Credit interest adjustment
IAJD	Debit interest adjustment
ICORC	Interest recalculation
ICORD	Interest recalculation
ID	Incoming Dematerialised
LTFC	Losses Transfer Credit
LTFD	Losses Transfer Debit
MLCD	Merrill Lynch Holdings Clear-down
MLCM	Merrill Lynch Credit Movement
MLCR	Merrill Lynch Credit Trans
MLDM	Merrill Lynch Debit Movement
MLDR	Merrill Lynch Debit Trans
OBCR	OPENING BALANCE CR
OBDR	OPENING BALANCE DR
OD	Outgoing Dematerialised
00	Open Offer
OPCR	Opening Proposed Credit
OPDR	Opening Proposed Debit
PAR	PAR Value Change
PPI	Pari Passu Issue
PPO	Pari Passu
PRSWCR	Half Yearly Interest Payment
PRSWDR	Half Yearly Interest Payment
RA	Rights Call
RDM	Redeemed
RI	Rights Issue
S	Sale
SC	Scrip Dividend
SCC	Solvency Credit Chargeable
SCNC	Solvency Credit Non-Chargeable
SDC	Solvency Debit Chargeable
SDNC	Solvency Debit Non-Chargeable
SI	Scrip Issue
SOLV	SOLVENCY CR
SPL	Stock Split
SPO	Stock Split Out
SUB	Subdivision
TFI	Transfer In
TFIR	Rev PEP Tfr From Plan Mgr

**LLOYD'S** 

#### TFO Transfer Out ТΙ Takeover Issue то Taken Over TOD Dematerialised Converted Out UAPRD **Debit Interest** UCAC **Product Corporate Action Credit** UCAD Product Corporate Action Debit Product Corporate Action Credit UCARC Reversal Product Corporate Action Debit UCARD Reversal UCRJN **Credit Journals** UCRJNR Credit Journal Reversal **Debit Journals** UDRJN UDRJNR **Debit Journal Reversal** UDVCR **Dividend** Credit UDVRC **Dividend Credit - Reversal** UIAJC Credit Interest Adjustment UIAJD **Debit Interest Adjustment Product Interest Correction Journal** UICJD Dr UPTCR **Credit Payment** UPTDR **Debit Payment** UPTRC **Credit Payment Reversal** UPTRD **Debit Payment Reversal**